



# POLICE AND CRIME COMMISSIONER FOR STAFFORDSHIRE AND CHIEF CONSTABLE FOR STAFFORDSHIRE

## Internal Audit Progress Report

Presented at the ETAP of

**29 May 2019**

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# 1 SUMMARY OF PROGRESS TO DATE

We have issued two final report from the 2018/19 internal audit plan. Our assignment reports are included as separate agenda items. The 2018/19 audit plan is now complete.

We have not issued any final reports from the 2019/20 internal audit plan. We have made good progress in planning in the work across the year and have included the details below.

**Reports shown in bold are being presented at this meeting.**

Assignments		Opinion	Actions agreed		
			H	M	L
FINAL REPORTS					
Financial Management – (Force)	FINAL	Partial Assurance	6	6	1
Risk Management (Joint)	FINAL	Substantial Assurance	0	1	0
Governance (OPCC)	FINAL	Substantial Assurance	0	1	1
Key Financial Controls (Force)	FINAL	Reasonable Assurance	0	2	6
Data Quality – Niche Implementation	FINAL	Advisory	8	1	0
Cash Seizures (Force)	FINAL	Reasonable Assurance	0	3	4
Savings Programme (Force)*	FINAL	Advisory	0	0	0
Financial Management (Force)	FINAL	Reasonable Assurance	0	5	0
Overtime (Force)	FINAL	Reasonable Assurance	-	5	2
Follow Up (Force)	FINAL	Good Progress	-	-	-

\* The review included advisory management actions

## LOOKING AHEAD – 2019/20

Capital Receipts (Joint)	Scope to be finalised	Planned for quarter 4 (see notes below)
Asset Management (Joint)	Scope to be finalised	Planned for quarter 4 (see notes below)
Data Migration & Data Quality (Force)	Not started	Planned for December 2019
Niche Implementation Follow Up (Force)	Not started	Planned for August 2019
Fleet Utilisation (Joint)	Scope issued	Confirmed for 29 July 2019
Workforce Planning (Force)	Not started	Planned for November 2019
Governance – Collaboration Board (Joint)	Scoping meeting booked	Planned for September 2019
Contract Management (Joint)	Scope issued	Confirmed for 1 July 2019
Forensics (Force)	Not started	Planned for November 2019
Savings Programme (Force)	Not started	Planned for September 2019
Procurement (Force)	Scope issued	Confirmed for 8 July 2019
Key Finance Controls (Force)	Not started	Planned for September 2019
Follow Up (Joint)	Each quarter	Each quarter

## 2 OTHER MATTERS

### 2.1 Changes to the internal audit plan

Since the previous ETAP meeting there has been some changes to the internal audit plan. The table below details those changes.

Auditable area	Reason for change
Capital Receipts & Asset Management	Management have requested that this review be deferred until quarter 4 2019/20, due to the nature of the reviews and the linkage to the Collaboration  It is proposed that the <b>Contract Management</b> and <b>Procurement</b> audits originally planned for later on during 2019/20, be undertaken in July/August 2019. The <b>Procurement</b> audit will focus specifically on Kier.

### 2.2 Information and briefings

We have issued one specific sector briefing, since the last ETAP, which is available separately to members.

## FOR FURTHER INFORMATION CONTACT

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