



ETAP Governance Highlight Report

Office of the Chief Constable

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Introduction

This report is intended to provide information and assurance to ETAP on the actions being taken around the following:

1. HMICFRS reports and recommendations
2. Internal audit reports and recommendations
3. External audit report and recommendations
4. ETAP reports and recommendations
5. Strategic Risk, Issue and Opportunity (RIO) management

An overview of the above areas is provided, with a focus on those matters that have been considered to represent higher level risks to the organisation or which ETAP have requested a specific update on. The last report to ETAP was submitted in December 2020 and a significant amount of activity has been undertaken since that time. It is the intention of this report, therefore to provide a current position statement to ETAP around the matters as set out above.

Recommendation to ETAP

That the information within this report be noted for information / discussion.
That any matters requiring more detailed scrutiny be raised for inclusion in the next Chief Constable's report to ETAP.

Overview of Current Position

1. Her Majesty's Inspectorate of Constabulary and Fire and Rescue Services (HMICFRS) Force Reports

Summary of live HMICFRS force inspection report action plans

Live HMICFRS Force Plans (1)												
Action Plan	Date of Report	Recommendations	Areas for Improvement	Overall Judgement	Awaiting First Update	On Track	Off Track	Awaiting National Prog.	Completed	Signed Off	Total	% Complete / Signed Off
2019 HMICFRS PEEL: Police Effectiveness, Efficiency and Legitimacy.	Feb-20	0	7	Good	0	3	0	0	1	3	7	57%

Three actions remain open within this plan which relate to wanted persons / PNC, full compliance with the victims code of practice and capability to monitor force computer systems for computer misuse. All of these are being actively progressed and are reported and overseen and the force's monthly Service Improvement Board.

During September 2021 the force was inspected around its child protection arrangements. This was an in depth two week inspection conducted by HMICFRS. The inspectorate gave a 'hot debrief' at the end of the inspection to share early findings with the force although the findings will be subject to a HMICFRS moderation process ahead of the report being published early next year (date tbc). There are a number of areas from the debrief where the force has commenced immediate improvement activity and the appropriate governance and oversight has already been put in place to underpin this. A comprehensive update will be provided to ETAP once the report is in the public domain.

All force and national publications can be accessed here:

<http://www.justiceinspectorates.gov.uk/hmicfrs/publications/>

2. Internal Audit Reports and Recommendations

Summary of live audit report action plans

Live Audit Plans (2)												
Action Plan	Date of Report	Recommendations	Areas for Improvement	Overall Judgement	Awaiting First Update	On Track	Off Track	Awaiting National Prog.	Completed	Signed Off	Total	% Complete / Signed Off
AUDIT 2019/2020 Fleet Utilisation Audit - Joint Emergency Transport Service JETS (Including follow up report.)	Dec-19	15	0	Partial	0	1	0	0	11	3	15	93%
AUDIT 2020/21 Asset Management – Asset Tracking (Advisory Only)	Dec-20	20	0	N/A	0	5	8	0	7	0	20	35%

3. External Audit Reports and Recommendations

The external audit of the draft statement of accounts for the year ended 31 March 2021 has not yet been completed due to the audit being rescheduled. This situation is allowed for by Regulation 10, paragraph (2a) of the Accounts and Audit Regulations 2015 (<http://www.legislation.gov.uk/uksi/2015/234/regulation/10/made>). Therefore the force is not yet able to publish its audited 2020/21 final statement of accounts in line with deadline of 30th September 2021.

4. ETAP Thematic Reports and Recommendations

Summary of live ETAP thematic action plans

Action Plan	Date of Report	Recommendations	Areas for Improvement	Overall Judgement	Comments
No Current Plans					

5. Strategic Risk Issue and Opportunity (RIO) Management

Since the last report to ETAP the Strategic Risk Management Board has continued to meet bi-monthly.

The current force strategic RIO register has **7 Risks, 2 Issues and 2 Opportunities**.

Much activity has been progressed since the December 2020 position was reported and the overview of the current matters held on the register are set out below.

Current Risks:

- **Emergency Services Network:** Risk of compromised operational viability due to delays in decommissioning of Airwave.
- **Origin ERP Upgrade:** Current version of Origin used by the force is not fit for purpose.
- **Operation Jafar:** Risk to public and officer safety and the ability to deliver service due to Covid-19.
- **Pensions Remedy:** Risk relating to changes of public sector pensions.
- **IT failure:** Risk that infrastructure failure due to end of life hardware may impact on delivery of operational and support activities.
- **Sexual misconduct:** The abuse of position for a sexual purpose
- **Operation of BMW N57 & B57 Engine:** Recent catastrophic engine failures

Current Issues:

- **Poor Data quality:** Issue relating to the requirement to address inaccurate and incomplete information held across systems.
- **Financial Stability:**

Current Opportunities:

- **Operation Uplift:** Opportunity to increase the force target operating model and grow police officer capability.
- **Transforming Culture:** Opportunities to ensure that our strategies, structures, people, policies, frameworks, systems, processes and, crucially, behaviours, are all aligned to bring about a new culture.