



POLICE AND CRIME COMMISSIONER FOR STAFFORDSHIRE AND CHIEF CONSTABLE FOR STAFFORDSHIRE

Internal Audit Progress Report

Presented at the ETAP of

27 March 2019

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1 SUMMARY OF PROGRESS TO DATE

Since the last ETAP we have issued **three final** reports from the 2018/19 internal audit plan.

Our assignment reports are included as separate agenda items.

Reports shown in bold are being presented at this meeting.

Assignments	Status	Date	Opinion	Actions agreed		
				H	M	L
FINAL REPORTS						
Financial Management – (Force)	FINAL	Audit started 06/08/18	Partial Assurance	6	6	1
Risk Management (Joint)	FINAL	Audit started 06/08/18	Substantial Assurance	0	1	0
Governance (OPCC)	FINAL	Audit started 23/09/18	Substantial Assurance	0	1	1
Key Financial Controls (Force)	FINAL	Audit started 12/11/18	Reasonable Assurance	0	2	6
Data Quality – Niche Implementation	FINAL	Audit started 10/12/18	Advisory	8	1	0
Cash Seizures (Force)	FINAL	Audit started 28/01/19	Reasonable Assurance	0	3	4
Savings Programme (Force)*	FINAL	Audit started 3/12/18	Advisory	0	0	0
Financial Management (Force)	FINAL	Audit started 18/02/19	Reasonable Assurance	0	5	0

* The review included advisory management actions

LOOKING AHEAD

Project Management	In progress	Not Applicable – Attendance at Programme Board
Overtime	Fieldwork in Progress and Draft report will be issued by 31 st March 2019	May 2019
Follow Up	Fieldwork in Progress and Draft report will be issued by 31 st March 2019	May 2019

2 OTHER MATTERS

2.1 Changes to the internal audit plan

Since the previous ETAP meeting there have not been any changes to the internal audit plan. The table below details those changes previously reported.

Auditable area	Reason for change
Oracle/ERP	Management have requested that this review be deferred until 2019/20, due to timings of Oracle implementation. It is proposed that the Overtime originally planned for 2019/20 will be undertaken in January 2019. The audit will be a Force audit. <i>During discussions for the Internal Audit Plan for 2019/20 it was established that the timing for this review is now most likely to take place during 2020/21.</i>

2.2 Information and briefings

We have issued one specific sector briefing, since the last ETAP, which is available separately to members.

2.3 Key performance indicators (KPIs) 2018/19 Plan

Measure	Target	YTD Actual	Explanation of variance	Notes
Proportion of audit plan complete or in progress: %	100%	95%	On track for completion.	100% target for the year.
Average working days between debrief meeting and draft report being issued	15 days	5 days		
Average working days taken for management to respond to draft report	15 days	5 days		
Final report issued within 3 working days of management response	95%	100%		
% of staff with CCAB/CMIIA qualifications /qualified by experience on audits	50%	100%		QBE = 10+ years relevant sector experience

FOR FURTHER INFORMATION CONTACT

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